

1 General Information

The bank management consult GmbH takes the protection of your personal data very seriously. Your privacy is important to us. We process your personal data in accordance with the applicable statutory data protection requirements for the following purposes. Personal data in the sense of this data protection information is all information that relates to you as an individual.

In the following we explain how we handle this data. For a better overview, we have divided our data protection information into chapters.

1.1 Controller of the data processing

bank management consult GmbH
Obere Karspüle 36
37073 Göttingen
Telephone: +49 (0) 551 - 6341 116
E-mail address: info@bm-consult.de

1.2 Data Protection Officer

We have appointed a Data Protection Officer. Our Data Protection Officer may be contacted at:

DDSK GmbH
Dr.-Klein-Str. 29
88069 Tettnang
E-Mail: datenschutz@bm-consult.de

2 Processing Framework

2.1 Source of Data Collection

We process personal data that we have collected directly from you.

Insofar, as this is necessary for the provision of our services, we process personal data that has been legitimately obtained from other companies or other third parties (e.g. credit agencies, address publishers). In addition, we process personal data that we have legitimately collected, received or acquired from publicly available sources (such as telephone directories, trade and association registers, population registers, debtor registers, land registers, press, Internet and other media).

2.2 Data Categories

Relevant categories of personal data may include, in particular:

- **Personal data** (e. g. name, occupation/industry and similar data)
- **Contact data** (address, e-mail address, telephone number and similar data)
- **Customer history**
- **Data about your use of the telemedia** offered by us (e.g.time of accessing our websites, apps or newsletters, our pages/links clicked on or entries and similar data)
- **Video and image recordings**

- **Communication data** (user details, content data, connection data as well as comparable data) within the scope of telephone conferences, video conferences and web meetings through the use of internet-based communication tools (hereinafter: web meetings)

2.3 Purposes and Legal Basis for processed Data

We process personal data in accordance with the provisions/regulations of the General Data Protection Regulation (GDPR), the Federal Data Protection Act of Germany (FDPA) and other applicable data protection regulations (details below). Which data are processed in detail and how they are used depends largely on the services requested or agreed/settled in each case. Further details or additions for the purposes of data processing can be found in the respective contractual documents, forms, a declaration of consent and/or other information provided to you (e.g. in the context of the use of our website or our general terms and conditions).

2.3.1 Purposes for the Performance of a Contract or Pre-Contractual Measures (Art. 6 (1) b GDPR)

The processing of personal data takes place to fulfill our contracts with you and to carry out your orders as well as measures and activities within the framework of pre-contractual relationships, e. g. with interested parties. This essentially includes: contract-related communication with you, the corresponding billing and associated payment transactions, the traceability of orders and other agreements as well as quality control through appropriate documentation, measures to monitor and optimize business processes and to fulfill general duties of care, control and monitoring by affiliated companies; statistical evaluations for corporate control, cost recording and controlling, reporting, internal and external communication, emergency management, accounting and tax assessment of operational services, risk management, assertion of legal claims and defence in the event of a legal dispute; guarantee of IT security (e.g. system or plausibility tests) and general security, securing and exercising the right of admission (e.g. through access controls); guarantee of integrity, authenticity and availability of data, prevention and investigation of criminal offences and monitoring by supervisory bodies or control bodies (e.g. audit).

2.3.2 Purposes Within the Scope of a Legitimate Interest of Us or Third Parties (Art. 6 (1) f) GDPR)

Beyond the actual performance/fulfilment of the contract or preliminary contract, we process your data to pursue our own legitimate interests or those of a third party, in particular for purposes of

- advertising or market and opinion research, provided that you have not objected to the use of your data;
- the testing and optimisation of needs analysis procedures
- the further development of services and products as well as existing systems and processes
- the enhancement of our data, including through the use or researching of publicly accessible data
- the assertion of legal claims and defence in legal disputes which are not directly attributable to the contractual relationship
- the limited storage of data, if deletion is not possible or only possible with a disproportionate amount of effort due to the special nature of the storage
- the prevention and investigation of criminal offences, if not exclusively for the fulfilment of legal requirements
- building and system security (e. g. through access controls), if this goes beyond the general duty of care
- internal and external investigations as well as security checks
- the preservation and maintenance of certifications of a private law or official nature
- securing and exercising the right of admission through appropriate measures (such as video surveillance) and to secure evidence of criminal offences and to prevent such
- the effective and resource-saving conduct of web meetings through the use of Internet-based communication tools.

2.3.3 Purposes in the Context of Your Consent (Art. 6 (1) a) GDPR)

Your personal data may also be processed for certain purposes with your consent (e. g. use of your e-mail address for marketing purposes, recording telephone conversations for quality control, training purposes etc.). Regularly, you can withdraw this consent at any time. You will be informed separately of the purpose and consequences of your withdrawal or non-issuance of consent in the corresponding consent text. The withdrawal of consent is generally only effective for the future. Processing that took place before the withdrawal, is not affected, and remains lawful.

2.3.4 Purposes for the Fulfillment of Legal Requirements (Art. 6 (1) c) GDPR) or in the Public Interest (Art. 6 (1) e) GDPR)

Like everyone who participates in economic activities, we're also subject to a large number of legal obligations/regulations. These are primarily statutory requirements (e. g. commercial and tax laws), but also, regulatory or other official requirements. The purposes of the processing may include the fulfilment of fiscal control and reporting obligations, the archiving of data for the purposes of data protection and data security, and the examination by fiscal and other authorities. Furthermore, the disclosure of personal data within the framework of official/judicial measures may become necessary for the purpose of collecting evidence, criminal prosecution or enforcement of civil law claims.

2.4 Automated Individual Decision-Making (Including Profiling) (Art. 22 GDPR)

We do not use sole automated decision-making procedures. Nevertheless, if we should use such a procedure in individual cases in the future, we will inform you separately, if this is prescribed by law.

To provide you with targeted information and advice on products, we may use evaluation tools. These tools facilitated demand-oriented product design, communication and advertising, including market and opinion research.

2.5 Consequences of Failure to Provide data

In the context of the business relationship, you must provide the necessary personal data for the establishment, execution and termination of the legal transaction and the fulfilment of the associated contractual obligations or which we are legally obliged to collect. Without this data, we will not be able to execute the legal transaction with you.

3 Recipients of Data

3.1 Within the EU/the EEA

Within our institution, the internal departments or organisational units that receive your data are those which require these to fulfil our contractual and legal obligations or within the context of the processing and execution of our legitimate interest. Within our group, your data will be transmitted to certain companies to undertake central data processing tasks (e. g. accounting, disposal of documents, IT support).

Your data will only be passed on to external bodies

- in connection with the execution of the contract
- for the purpose of fulfilling legal requirements according to which we are obliged to provide information, to report or pass on data, or the passing on of data is in the public interest (see Section 2.4)

- if external service providers process data on our behalf as processors or function providers (e.g. data centres, support / maintenance of EDP/IT applications, archiving, document processing, call centre services, compliance services, controlling, data validation or plausibility checks, data destruction, purchasing / procurement, customer administration, letter shops, marketing, research, risk controlling, billing, telephony, website management, auditing services, credit institutes, print shops or companies for data disposal, courier services, logistics)
- on the basis of our legitimate interest or the legitimate interest of the third party for the purposes mentioned (e. g. to authorities, credit agencies, debt collectors, lawyers, courts, experts, subsidiaries and bodies and control bodies)
- if you have given us your consent for transmission to third parties

In addition, we will not share your data with third parties. If we commission service providers as part of the order processing, your data there are subject to the same security standards. Recipients may only use the data for the purposes for which they were provided to them.

3.2 Outside the EU/the EAA

Data is transferred to bodies in countries outside the European Union (EU) or the European Economic Area (EEA) (so-called third countries).

3.3 Overview recipients

We have listed the recipients of the data as an annex at the end of this document.

4 Storage Periods

We process and store your data for the duration of our business relationship. This also includes the initiation of a contract (pre-contractual legal relationship) and the execution of a contract.

In addition, we are subject to various storage and documentation obligations pursuant to, inter alia, the German Commercial Code (HGB) and the German Tax Code (AO). The deadlines for storage and / or documentation specified therein are up to ten years beyond the end of the business relationship or the pre-contractual legal relationship to the end of the calendar year.

Furthermore, special legal regulations may require a longer storage period, e. g. the preservation of evidence within the framework of the legal statute of limitations. Pursuant to Paragraph 195 et seq. of the German Civil Code (BGB), the regular limitation period is three years, but limitation periods of up to 30 years may also apply.

If the data is no longer required for the fulfilment of contractual or legal obligations and rights, they are deleted on a regular basis, unless their – limited – further processing is necessary to fulfil the purposes for a higher legitimate interest. Such an overriding legitimate interest also exists, for example, if erasure is not or only possible with a disproportionate amount of effort due to the special nature of the storage, and processing for other purposes by suitable technical and organizational measures is excluded.

5 Your Rights

Under certain conditions, you can assert your data protection rights against us. Your requests to exercise your rights should be addressed, as far as possible, in writing or via e-mail to the above address or directly in writing or via e-mail to our Data Protection Officer.

- You have the right to **receive information** from us about your data stored by us pursuant to the rules of Art. 15 GDPR (possibly with restrictions pursuant to § 34 FDPA).
 - If you so request, we will **correct** the data stored about you pursuant to Art. 16 GDPR if they are inaccurate or incorrect.
 - If you so desire, we will **erase** your data pursuant to the principles of Art. 17 GDPR, provided that other legal regulations (e.g. legal storage obligations or the restrictions pursuant to § 35 FDPA) or an overriding interest on our part (e.g. to defend our rights and claims) do not oppose this.
 - You may ask us to **restrict** the processing of your data, taking into account the requirements of Art. 18 GDPR.
 - Furthermore, you may **object** to the processing of your data pursuant to Art. 21 GDPR, which requires us to stop processing your data. However, this right to object only applies in the event of very special circumstances regarding your personal situation, whereby our company's rights may conflict with your right to object.
 - You also have the right to receive your data in a structured, common and machine-readable format under the conditions of Art. 20 GDPR or to **transmit** them to a third party.
 - In addition, you have the right to **withdraw** the consent to the processing of personal data you granted at any time with future effect.
 - You also have a right to **lodge a complaint** with a data protection supervisory authority (Art. 77 GDPR). However, we recommend that you always address a complaint to our Data Protection Officer initially.
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- The data protection supervisory authority responsible for us is:

Der Landesbeauftragte
für den Datenschutz Niedersachsen
Prinzenstraße 5
30159 Hannover
Telephone 0511-120 4500
Fax 0511-120 4599
poststelle@lfd.niedersachsen.de

Annex: Overview of recipients

The following recipients receive your data as part of the data processing described here:

- Recipient: Microsoft Corporation, One Microsoft Way, Redmond, WA 98052-6399, USA
Third country transfer: There is an adequacy decision for the transfer. The transfer is based on Art. 45 (1) GDPR. Microsoft participates in voluntary certification <https://www.dataprivacyframework.gov/s/participant-search>
- Recipient: Provantis IT Solutions GmbH, Stuttgarter Str. 41, 71254 Ditzingen, Germany
Third country transfer: A third country transfer does not take place.
- Recipient: HubSpot Inc, 25 First Street, Cambridge, MA 02141, USA
Third country transfer: There is no adequacy decision by the European Commission for the transfer of data to a third country. The transfer is based on Art. 46 para. 1 GDPR. The appropriate guarantee can be viewed at https://legal.hubspot.com/de/privacy-policy?hubs_content=www.hubspot.de/company/contact&hubs_content-cta=Datenschutzbestimmungen

Information obligations customers and suppliers



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Recipient: Epignosis LLC, 315 Montgomery Street, San Francisco, California CA 94104 USA
Third country transfer: There is no adequacy decision for the transfer. The transfer is based on Art. 46 para. 2 f) GDPR. The appropriate guarantee can be viewed at <https://www.talentlms.com/gdpr> and <https://eucoc.cloud/en/public-register/list-of-adherent-services>